

To: SOCIAL WORK COMMITTEE		Subject: SECTION 10 GRANTS	
From: JIM DICKIE DIRECTOR OF SOCIAL WORK			
Date: 11 th March 2004	Ref: JD/GMcI/GF		

1 PURPOSE OF REPORT / INTRODUCTION

- 1.1 To inform Committee of the applications received from voluntary organisations requesting Section 10 funding under the Social Work (Scotland) Act 1968, and to seek approval for payments, where appropriate, to the organisations concerned as detailed in the attached appendices.

2 BACKGROUND

- 2.1 The Council is empowered under the Social Work (Scotland) Act 1968 to make grants or payments to voluntary organisations whose sole or primary purpose is to promote social welfare.

- 2.2 The Departmental budget for Section 10 grants for the period 2004/2005 is £430,653.

- 2.3 The Department issued over 68 Section 10 applications to a range of organisations, to date 54 groups have submitted completed applications, although three of the applications were received too late to be assessed and considered by committee within this cycle. The value of the grants requested to date totals £624,844 this figure will obviously increase if other applications received during 2004/2005. The criteria applied to applications have been previously endorsed by Committee and are detailed below:-

- **Performance/Quality, Value for Money:** consideration of quality services which represent good value for the Section 10 monies provided to the organisations concerned.
- **Direct Services:** recognising the need to support voluntary organisations who provide direct services to individuals and care groups which would otherwise require the Social Work Department to provide substitute services.
- **Provision of Local Services:** continued support to local organisations who provide worthwhile local services which benefit individuals and local communities.
- **Continuity:** providing ongoing support to organisations which are currently funded through Section 10.
- **Scope for Growth:** recognising the opportunities for creating new and meaningful provisions for the citizens of North Lanarkshire in the field of social welfare.
- **Overall Funds:** taking account of the total money which is available to the Social Work Department for Section 10 funding.

- 2.4 In February 2003 the Committee agreed the introduction of 3 year funding agreements for 10 projects receiving funding of £10,000 and above in line with the Voluntary Sector Strategy and the Department's review of Section 10 processes and procedures. Each agreement details performance information in respect of services and value for money enabling the Department to evaluate the effectiveness of those organisations as part of the review process of each funding agreement.
- 2.5 Following the Review of Section 10 Processes and Procedures and through the work of the Council's Value for Money Grants Group more rigorous systems for monitoring all grant funded organisations are being put in place.

3 PROPOSALS / CONSIDERATIONS

- 3.1 The Council's Value For Money Grants Group has continued to develop and improve the application, assessment and monitoring arrangement for projects/voluntary organisations in receipt of Council grant funding. This has included new integrated applications forms across all annual grant programmes, a common assessment format and a common template for funding agreements. Joint training across funding Departments for designated supervising officers has been agreed and will be delivered in March for around 75 Council officers the majority coming from Social Work and Community Services.
- 3.2 As noted above, the Section 10 budget for 2004/2005 is £430,653, the value of the requests received to date is £624,844 a negative difference of £194,191. It is not possible to meet all of the funding requests which have been received.
- 3.3 Recognising that the budget resources are not able to meet the specific funding requests of the individual organisations, it is therefore proposed that Committee should maintain continued support to currently funded organisations at a level no less than the grants figure awarded for the current financial year.
- 3.4 Among the 54 applications which have been assessed for funding, 7 of the applications were from organisations seeking funding for the first time.
- 3.5 Existing monitoring arrangements in respect of Wishaw Stroke Group, which was awarded a grant of £3,000 and REALM which was awarded £32,00 in 2003/04, have identified a number of organisational issues in relation to these groups and a projected underspend of their grant which requires to be addressed. More details are provided in Appendix 3.
- 3.6 The ten organisations which have 3 year funding agreements are not required to submit annual applications for funding. However an annual review process for each project has now been implemented to monitor the performance of each project against agreed indicators. The outcome of the annual review process will be reported to the next Social Work Committee.

4 FINANCIAL / PERSONNEL / LEGAL / POLICY IMPLICATIONS

- 4.1 Of the applications received 47 have been recommended for approval (Appendix 1) and 4 recommended for refusal (Appendix 2A). The list of organisations in receipt of three year funding agreements, and the level of grant awarded, is shown within Appendix 1. The total value of the payments recommended in Appendix 1 is £389,909. The available funding for 2004/05 is £430,653 which will leave a balance of £40,744.

- 4.2 The introduction of more rigorous monitoring of projects has resulted in the proposed reduced grant level for one project where there is a current underspend in their grant (Wishaw Stroke Group £1,500). These funds have yet to be released to the organisation creating a potential saving of £1,500. These savings and other unallocated funds for 2003/04 have resulted in uncommitted funds within the Section 10 Budget of £27,737. (See Appendix 3 for more information).
- 4.3 It is proposed to utilise the remaining funds within the 2003/04 budget (of £27,737) by the early release of grant instalments for projects in 2004/05. This will maximise the available funds for any further applications for funding which are likely to be received during 2004/05. If the uncommitted funds for 2003/04 of £27,737 are used in conjunction with the budget for 2004/05 of £430,653 the approval of the recommendations (totalling £389,909) would leave a balance of £68,481 for the consideration any new applications for funding received during the year.
- 4.4 Supervising and Link Officers have now been identified for all projects in receipt of funding of £10,000 and above and between £2,000 - £10,000 respectively. Training for these officers will be delivered in March 2004 in conjunction with other grant funding Departments.
- 4.5 Funding Agreements and supervising arrangements for all projects receiving funding of £10,000 and above are now in place. All the Funding Agreements have had the additional scrutiny of being approved by the Departments Contract's Panel.

5 RECOMMENDATIONS

- 5.1 Committee is requested to:-
- i Approve the funding recommendations set out at Appendix 1 and 2 of this report.
 - ii Approve the utilisation of the remaining Section 10 funds within the 2003/04 budget to make the early release of grant payments for projects awarded funding within 2004/05.
 - iii Note the implementation of formal funding agreements for projects receiving £10,000 and above.
 - iv Note the monitoring issues identified for Wishaw Stroke Group and REALM and agree the actions outlined in Appendix 3.
 - v Bring forward a report to a future Committee on any issues arising from the review of funding agreements.
 - vi To otherwise note the report



Jim Dickie
Director of Social Work
15 February 2003

For further information on this report please contact George McInally, Manager Social Work Strategy (TEL: 01698 332)

APPENDIX 1

Grant Requests and Recommendations for 2004/2005

Grant Requests and Recommendations for 2004/2005					
No.	Organisation	Request 2004/05	2003/04 Grants	Recommended 2004/05	Comments
01/2004	Pain Association Scotland	£1,000	£600	£600	In line with previous award
02/2004	Yorkhill Family Bereavement Service	£3,500	£250	£500	Allows for some growth
03/2004	Partners in Advocacy	£800	£400	£400	In line with previous award
04/2004	Anstruther Holiday Project	£1,000	£1,000	£1,000	In line with previous award
05/2004	Scottish Spina Bifida Association	£9,000	£1,000	£1,000	In line with previous award
06/2004	Victim Support Scotland	£11,500	£7,256	£8,000	Allows for some growth
07/2004	British Retinitis Pigmentosa Society	£100	£50	£100	Allows for some growth
08/2004	Parkinson's Self Help Group (North Lanarkshire)	£25,701.30	£6,500	£6,500	In line with previous award. Given the level of funding requested, the Department will have further discussions with the organisation regarding their development needs.
09/2004	CSV – Clyde Action/Cash for Kids	£15,255	£7,000	£10,000	Joint funded with Community Services contributing £5,000 to match funding requested
10/2004	North Lanarkshire Disability Forum	£18,678.04	£2,000 (cap) £9,772	£2,000	In line with previous revenue award
11/2004	Airdrie Helping Hands	£2,000	£1,000	£1,000	In line with previous award
12/2004	Multiple Sclerosis Society Cumbernauld & District	£900	£650	£650	In line with previous award
13/2004	Cruse Bereavement Care Scotland – Lanarkshire Branch	£3,660	£2,500	£3,000	Allows for some growth
14/2004	Shotts & District Volunteer Addiction Group	£4,550	£2,500	£2,500	In line with previous award
15/2004	Age Concern Scotland	£29,470	£5,000	£5,000	In line with previous award
16/2004	PHACE Scotland	£7,200	£7,000	£7,200	Allows for some growth
17/2004	Parkinson's Self Help Group (Motherwell)	£3,000	£2,000	£2,000	In line with previous award
18/2004	Glenboig Neighbourhood House	£2,420	£1,000	£1,000	In line with previous award
19/2004	Childline Scotland	£2,850	£2,000	£2,000	In line with previous award
22/2004	Rape Crisis Centre	£9,087	6,000	£7,000	Allows for some growth
23/2004	Utheo Ltd	£4,459	£22,400	£4,459	One-off Capital element
24/2004	Caldercruix Community Food Co-operative	£1,450	£1,250	£1,650	In line with previous award with a one-off capital element of £400
25/2004	West of Scotland Seniors Forum	£11,000	£6,860	£5,500	Shared funding of £11,000 with Chief Execs Dept.
26/2004	The Spark Initiative, Honeypot Befriending Service	£7,000	£3,000	£3,000	In line with previous award
28/2004	West Lothian Retired Miners Association	£5,000	£1,000	£1,000	In line with previous award.
29/2004	Arthritis Care 401 Cumbernauld	£2,000	£1,000	£1,000	In line with previous award

Grant Requests and Recommendations for 2004/2005

No.	Organisation	Request 2004/05	2003/04 Grants	Recommended 2004/05	Comments
30/2004	Victim Support North Lanarkshire	£6,000	£7,256	£6,000	Reduced application as funding increased from National office
31/2004	Dementia Services Development Trust	£1,050	£1,000	£1,050	Allows for some growth
32/2004	Monklands Council on Addictions	£38,620	£8,400	£9,000	Allows for some growth
33/2004	Monklands Elderly Asian Women's Group	£4,065.59	£3,500	£4,000	Allows for some growth
34/2004	Lanarkshire Couple Counselling Service	£3,000	£2,000	£2,000	In line with previous award as organisation has surplus for 2003/4
35/2004	Cumbernauld & Lanarkshire Counselling Service	£23,740	£23,739	£23,740	In line with previous award
36/2004	Jack & Jill Support Group	£4,000	£3,500	£4,000	Allows for some growth
37/2004	Cruse Bereavement Care Scotland – Strathkelvin Branch	£3,000	£2,000	£1,500	Reduced funding in view of level of NLC use
38/2004	Headway Monklands	£1,500	£1,500	£1,500	In line with previous award
39/2004	Headway Motherwell	£4,000	£1,000	£1,500	Allows for some growth
40/2004	Parkinson's Self Help Group Cumbernauld	£1,000	£1,000	£1,000	In line with previous award
42/2004	Kilsyth Old People's Welfare Committee	£3,315	£1,000	£1,000	In line with previous award
43/2004	Airdrie Self Help Group for the Visually Impaired	£4,000	£800	£800	In line with previous award
44/2004	Scottish Pensions Association	£200	£100	£100	In line with previous award
45/2004	Lanarkshire OAP Club for the Deaf	£1,000	£100	£100	In line with previous award
46/2004	Samaritans of Lanarkshire	£2,000	£900	£1,200	Allows for some growth
48/2004	Body Positive Strathclyde	£4,000	£1,000	£1,000	In line with previous award
49/2004	Scottish Council on Deafness (SCoD)	£2,500	£1,000	£1,500	Allows for some growth . Further funding will be considered if organising National Conference.
50/2004	Monklands Family Support Group	£1,500	£1,000	£1,000	In line with previous award. Additional funding to be pursued from Carers Fund
52/2004	St. Patrick's Furniture Project	£13,080	£20,000 (capital)	£9,000	First year of revenue funding
53/2004	RECAP	£10,000	0	£10,000	New application first year of revenue funding
Organisation which have 3 year Funding Agreements					
9/2003	Beulah Scotland		£8,000	£8000	Funding level subject to annual review
10/2003	Watch Us Grow		£11,050	£11,050	Funding level subject to annual review
11/2003	Family Mediation West		£10,000	£10,000	Funding level subject to annual review
12/2003	Newmains Community Advice Centre		£45,237	£45,237	Funding level subject to annual review
29/2003	Craigneuk Benefit Group		£38,843	£38,843	Funding level subject to annual review

Grant Requests and Recommendations for 2004/2005

No.	Organisation	Request 2004/05	2003/04 Grants	Recommend ed 2004/05	Comments
31/2003	Cumbernauld & Kilsyth Unemployed Workers Centre		£11,000	£11,000	Funding level subject to annual review
40/2003	TIAC Tannochside Information and Advice Centre		£72,330	£72,330	Funding level subject to annual review
42/2003	One Plus: One Parent Families		£10,000	£10,000	Funding level subject to annual review
56/2003	PETAL People Experiencing Trauma and Loss		£10,000	£10,000	Funding level subject to annual review
58/2003	Chryston District Carers Support Group		£14,400	£14,400	Funding level subject to annual review
				£389,909	

FUNDING NOT RECOMMENDED**APPENDIX 2A**

Ref No	ORGANISATION	FUNDING REQUESTED	REASON FOR DECISION
		£	
20/2004	Deafblind Scotland	£6,426	Such services if required on behalf of Lanarkshire residents should be on a commissioned basis rather than provided through grant aid.
21/2004	Scottish Child Law Centre	£1,500	Council already funds a similar service and a number of other specialist and generic advice services
27/2004	Monklands Befriending Initiative	£6,321	Project scheduled to close in a few weeks. Application withdrawn.
47/2004	Blantyre Volunteer Group	£32,177	Application does not meet the criteria for Section 10 funding. Such service, if required on behalf of North Lanarkshire residents should be on a commissioned basis rather than through grant aid.

APPENDIX 2B

APPLICATIONS RECEIVED AFTER DEADLINE

A number of applications were received too late to be assessed. The outcome of these assessments will be reported to a future Social Work Committee.

Ref No	ORGANISATION	FUNDING REQUESTED	
41/2004	Counselling and Benefits in Action (C.A.B.I.A.)	£15,000	In process of assessment
51/2004	Monklands Association for Voluntary Service – Airdrie	£4,800	In process of assessment
54/2004	Scottish Care & Information on Miscarriage	£4,209	In process of assessment

ISSUES ARISING FROM MONITORING VISITS

Organisation	Issues Identified	Action Proposed
Wishaw Stroke Group	<p>The grant to this organisation was recommended on the basis that a number of issues identified during the assessment process be addressed. These included:</p> <ul style="list-style-type: none"> • The need for improved financial procedures. • The lack of any forward planning. • Poor organisation such as maintaining adequate records e.g. minutes of Committee meetings. <p>In a follow up monitoring visit the organisation had not addressed any of the issues previously identified and was also unlikely to spend the level of grant awarded within the financial year.</p>	<p>To withhold the release of the final instalment of grant (£1,500) for 2003/04</p>
REALM	<p>The organisation has suspended its operation twice during the last two years due to the long term illness of the co-ordinator. This has affected the long term viability of the organisation as other projects have picked up most of their business. It was closed for approximately six months and was set for another long period of closure.</p> <p>The organisation has also suffered from a lack of volunteers within their management group.</p>	<p>The Management Committee has decided to wind up the organisation effectively from 31/12/03.</p>