

## **AGENDA**

- (1) Declarations of Interest in terms of the Ethical Standards in Public Life Etc. (Scotland) Act 2000

## **AUDIT RELATED ITEMS**

- (2) Audit Scotland Report - Improving outcomes for Young People through School Education (page 5 - 16)  
Submit report by the Audit and Risk Manager (1) informing the Panel of the Audit Scotland report 'Improving Outcomes for Young People through School Education', published in March 2021, and (2) providing an overview of issues and recommendations raised in the report and how these issues and recommendations are being addressed by Management (copy herewith)
- (3) Audit and Risk-Related Items: Action Log (page 17 - 18)  
Submit report by the Audit and Risk Manager allowing elected Members to track implementation of requests and recommendations made by the Panel in respect of audit and risk-related items in the previous 18 months (copy herewith)
- (4) Internal Audit Progress Report (page 19 - 96)  
Submit report by the Audit and Risk Manager (1) providing an overview of Internal Audit activity, and (2) reporting the results of Internal Audit outputs finalised since the last update to the Panel in March 2021 (copy herewith)
- (5) Internal Audit: Follow-Up of Actions previously agreed by Management in response to Audit Recommendations (page 97 - 108)  
Submit report by the Audit and Risk Manager updating the Panel on the results of Internal Audit's follow-up work reviewing the extent to which Management have implemented those actions previously committed to in response to recommendations in Internal and External Audit reports (copy herewith)
- (6) Proposed Internal Audit Annual Plan 2021-22 (page 109 - 150)  
Submit report by the Audit and Risk Manager presenting the proposed Internal Audit Plan 2021-22 to the Panel for their consideration and approval (copy herewith)
- (7) National Fraud Initiative - Update (page 151 - 156)  
Submit report by the Audit and Risk Manager (1) informing the Panel of progress made to date by the Council in response to the 2020 National Fraud Initiative (NFI) data matching exercise, and (2) highlighting where further action is required by relevant Services (copy herewith)
- (8) External Audit: Audit Scotland Management Report (page 157 - 172)  
Submit report by the Audit and Risk Manager presenting the Panel with the audit output produced by the Council's appointed external auditors, Audit Scotland, since the last meeting of the Panel in respect of the audit of the Council for the year-ending 31 March 2021 (copy herewith)

- (9) PCI-DSS Compliance - Progress Report (page 173 - 180)  
Submit report by the Head of Business Solutions providing an update on the Council's progress in achieving compliance with the Payment Card Industry Data Security Standard (PCI-DSS) by the indicative date of September 2021 (copy herewith)

### **RISK MANAGEMENT RELATED ITEMS**

- (10) Corporate Risk Register 2021-22 (page 181 - 188)  
Submit report by the Audit and Risk Manager (1) updating the Panel on the results of the review of the Corporate Risk Register, and (2) presenting the Panel with Corporate Risk Register for 2021/22 (copy herewith)
- (11) Corporate Risk - Deep Dive: Information Security and Information Governance Risk (page 189 - 202)  
Submit report by the Head of Business Solutions (1) providing an overview of existing controls and actions taken to date, and (2) illustrating further actions planned to continue to manage this risk (copy herewith)
- (12) Risk Management Update - Key Corporate Risk - Public Protection (page 203 - 216)  
Submit report by the Chief Social Work Officer providing the Panel with an overview of the current assessment of the Risk: Public Protection with particular reference to how arrangements have performed and how this risk has been managed through the period of the pandemic (copy herewith)

### **YEAR-END ITEMS**

- (13) Internal Audit - Annual Report 2020-21 (page 217 - 228)  
Submit report by the Audit and Risk Manager providing (a) the Panel, the Chief Executive and the Corporate Management Team, with a summary of the work undertaken by Internal Audit, and (b) an Annual Independent Opinion on the Council's Corporate Governance, Risk Management and Internal Control Arrangements (copy herewith)
- (14) PO75.1: Annual Governance Statement 2020/21 (page 229 - 242)  
Submit report by the Head of Business Solutions providing the Panel with a copy of the Annual Governance Statement (copy herewith)
- (15) Annual Accounts - Update (page 243 - 245)  
Submit report by the Head of Financial Solutions providing an update on the statutory Annual Accounts for financial year 2020/21 which will be presented to the Panel on 2 September 2021 following submission to External Audit by the deadline of 30 June 21 (copy herewith)